



# Why Attention? Analyze BiLSTM Deficiency and Its Remedies in the Case of NER

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## XOR Limitation for BiLSTM

1. Key and Peele (*work-of-art*)
2. You and I (*work-of-art*)
3. Key and I
4. You and Peele

$$s_t = H_t W_p + b$$
$$= \vec{H}_t \vec{W}_p + \overleftarrow{H}_t \overleftarrow{W}_p + b$$
$$= \vec{s}_t + \overleftarrow{s}_t + b$$

$i$ : inside-work-of-art  
 $j$ : outside

$$\vec{s}_{2i}^1 + \overleftarrow{s}_{2i}^1 + b_i > \vec{s}_{2j}^1 + \overleftarrow{s}_{2j}^1 + b_j$$
$$\vec{s}_{2i}^2 + \overleftarrow{s}_{2i}^2 + b_i > \vec{s}_{2j}^2 + \overleftarrow{s}_{2j}^2 + b_j$$
$$\vec{s}_{2i}^3 + \overleftarrow{s}_{2i}^3 + b_i < \vec{s}_{2j}^3 + \overleftarrow{s}_{2j}^3 + b_j$$
$$\vec{s}_{2i}^4 + \overleftarrow{s}_{2i}^4 + b_i < \vec{s}_{2j}^4 + \overleftarrow{s}_{2j}^4 + b_j$$

Left	Right	Tag of "and"
0	0	0
1	1	0
0	1	1
1	0	1

$$\vec{s}_{2i}^1 + \overleftarrow{s}_{2i}^1 + b_i > \vec{s}_{2j}^1 + \overleftarrow{s}_{2j}^1 + b_j$$
$$\vec{s}_{2i}^2 + \overleftarrow{s}_{2i}^2 + b_i > \vec{s}_{2j}^2 + \overleftarrow{s}_{2j}^2 + b_j$$
$$\vec{s}_{2i}^1 + \overleftarrow{s}_{2i}^2 + b_i < \vec{s}_{2j}^1 + \overleftarrow{s}_{2j}^2 + b_j$$
$$\vec{s}_{2i}^2 + \overleftarrow{s}_{2i}^1 + b_i < \vec{s}_{2j}^2 + \overleftarrow{s}_{2j}^1 + b_j$$

## XOR Limitation for CRF-BiLSTM

1.  $a\_o\ m\_s\ c\_o$
2.  $b\_o\ m\_s\ d\_o$
3.  $a\_o\ m\_o\ d\_o$
4.  $b\_o\ m\_o\ c\_o$

Viterbi with softmax ( $p$ ) and transition ( $q$ )

Viterbi, suppose no transition

$$lp(-s|am) + lp(s|mc) + lq(oso) > lp(-o|am) + lp(o|mc) + lq(ooo)$$
$$lp(-s|bm) + lp(s|md) + lq(oso) > lp(-o|bm) + lp(o|md) + lq(ooo)$$
$$lp(-s|am) + lp(s|md) + lq(oso) < lp(-o|am) + lp(o|md) + lq(ooo)$$
$$lp(-s|bm) + lp(s|mc) + lq(oso) < lp(-o|bm) + lp(o|mc) + lq(ooo)$$

$$p(oso|amc) > p(ooo|amc)$$
$$p(oso|bmd) > p(ooo|bmd)$$
$$p(oso|amd) < p(ooo|amd)$$
$$p(oso|bmc) < p(ooo|bmc)$$

$$lp(-s|amc) > lp(-o|amc)$$
$$lp(-s|bmd) > lp(-o|bmd)$$
$$lp(-s|amd) < lp(-o|amd)$$
$$lp(-s|bmc) < lp(-o|bmc)$$

$$lp(-s|am) + lp(s|mc) > lp(-o|am) + lp(o|mc)$$
$$lp(-s|bm) + lp(s|md) > lp(-o|bm) + lp(o|md)$$
$$lp(-s|am) + lp(s|md) < lp(-o|am) + lp(o|md)$$
$$lp(-s|bm) + lp(s|mc) < lp(-o|bm) + lp(o|mc)$$

## BiLSTM

$$\vec{H} = \overrightarrow{LSTM}_2(\overrightarrow{LSTM}_1(X))$$

$$\overleftarrow{H} = \overleftarrow{LSTM}_4(\overleftarrow{LSTM}_3(X))$$

$$H = \vec{H} \parallel \overleftarrow{H},$$

$$s_t = H_t W_p + b$$

-> Cross

$$\vec{H}^1 = \overrightarrow{LSTM}_1(X)$$

$$\overleftarrow{H}^3 = \overleftarrow{LSTM}_3(X)$$

$$\vec{H}^2 = \overrightarrow{LSTM}_2(\vec{H}^1 \parallel \overleftarrow{H}^3)$$

$$\overleftarrow{H}^4 = \overleftarrow{LSTM}_4(\vec{H}^1 \parallel \overleftarrow{H}^3)$$

$$H = \vec{H}^2 \parallel \overleftarrow{H}^4$$

-> Att

$$\alpha^i = \sigma \left( \frac{HW^{qi}(HW^{ki})^T}{\sqrt{d_c}} \right)$$

$$C^i = \alpha^i HW^{vi},$$

$$s_t^c = (H_t \parallel C_t^1 \parallel C_t^2 \parallel \dots \parallel C_t^m) W_c + b$$

## Results

	OntoNotes 5.0			WNUT 2017		
	Prec.	Rec.	F1	Prec.	Rec.	F1
BiLSTM-CNN	86.04	86.53	86.28±0.26	-	-	-
CRF-IDCNN	-	-	86.84±0.19	-	-	-
CRF-BiLSTM(-BiLSTM*)	-	-	86.99±0.22	-	-	38.24
Baseline-BiLSTM-CNN	88.37	87.14	87.75±0.14	53.24	32.93	40.68±1.78
Cross-BiLSTM-CNN	88.37	<b>88.17</b>	88.27±0.17	<b>58.28</b>	33.92	<b>42.85±0.99</b>
Att-BiLSTM-CNN	<b>88.71</b>	88.11	<b>88.40±0.18</b>	55.82	<b>34.08</b>	42.26±0.82

-> By Length

	OntoNotes 5.0				WNUT 2017			
	1	2	3	3+	1	2	3	3+
Cross	+0.3%	+0.6%	+1.8%	+1.3%	+1.7%	+2.9%	+8.7%	+5.4%
Att	+0.1%	+1.1%	+2.3%	+1.8%	+1.5%	+2.0%	+2.6%	+0.9%

-> By Component

	$H C^{all}$	Att-BiLSTM-CNN							Baseline-...
		$H$	$C^{all}$	$C^1$	$C^2$	$C^3$	$C^4$	$C^5$	$H$
O	99.05	-1.68	0.75	0.95	-1.67	-45.57	-0.81	-35.46	-0.03
S	93.74	2.69	-91.02	-90.56	-90.88	-25.61	-86.25	-84.32	<b>0.13</b>
B	90.99	1.21	-52.26	-90.78	-88.08	-90.88	<b>-12.21</b>	-87.45	<b>-0.63</b>
I	90.09	<b>-28.18</b>	<b>-3.80</b>	-87.93	<b>-60.56</b>	<b>-50.19</b>	<b>-57.19</b>	-79.63	<b>-0.41</b>
E	93.23	2.00	-71.50	-93.12	<b>-36.45</b>	<b>-39.19</b>	-91.90	-90.83	<b>-0.38</b>

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President **Clinton** will host a meeting at **the White house** **Saturday** with **Israeli** and **Palestinian** negotiators ...